

# Modern PL/SQL Code Checking and Dependency Analysis

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# ■ About Me

- With Trivadis since April 2000
  - Senior Principal Consultant, Partner
  - Member of the Board of Directors
  - [philipp.salvisberg@trivadis.com](mailto:philipp.salvisberg@trivadis.com)
  - [www.salvis.com/blog](http://www.salvis.com/blog)
- Member of the  **trivadis**  
performanceteam
- Main focus on database centric development with Oracle DB
  - Application Development
  - Business Intelligence
  - Application Performance Management
- Over 20 years experience in using Oracle products



# ■ AGENDA

1. Introduction
2. Grammar
3. Code Checking
4. Dependency Analysis
5. Core Messages

# ■ PL/SQL & SQL Coding Guidelines



Coding Guidelines are a crucial part of software development. It is a matter of fact, that code is more often read than written – therefore we should take efforts to ease the work of the reader, which is not necessarily the author.

I am convinced that this standard may be a good starting point for your own guidelines.

Roger Troller  
Senior Consultant Trivadis



"Roger and his team have done an excellent job of providing a comprehensive set of clear standards that will undoubtedly improve the quality of your code. If you do not yet have standards in place, you should give strong consideration to using these as a starting point."

*Steven Feuerstein*

Steven Feuerstein  
PL/SQL Evangelist

- Openly available since August 2009
- Download for free from [www.trivadis.com](http://www.trivadis.com)



## ■ Coding Guideline #26

26. Always specify the target columns when executing an insert command.

### ■ Reason

- Data structures often change.
- Having the target columns in your insert statements will lead to change-resistant code.

### ■ Examples

```
-- Bad
INSERT INTO messages
      VALUES (l_mess_no, l_mess_typ, l_mess_text);
```

```
-- Good
INSERT INTO messages (mess_no, mess_typ, mess_text)
      VALUES (l_mess_no, l_mess_typ, l_mess_text);
```

# PL/SQL Assessment

- Code Analysis based on Trivadis SQL & PL/SQL Guidelines
- Cookbook using e.g.
  - Quest CodeXpert
  - SQL Scripts using PL/Scope
  - SQL Scripts
  - Manual checks
  - Interviews
- Final Report
  - Results
  - Recommendations
- Fixed Price Offering

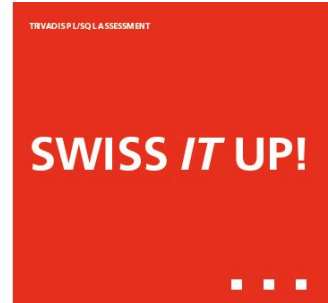


## EXPERIENCE IT

GAHRANTIESES OFFER ODER QUALITÄT - EIN GUTES GEFÜHL!

Trivadis ist ein erfolgreiches Schweizer Unternehmen für IT-Beratung und Dienstleistungen mit über 25 Jahren Erfahrung und mehr als 200 Mitarbeitern in der Schweiz, Deutschland und Österreich!

**Ausgangspunkt unserer Kernkompetenz:**  
 AETRA - Bundesamt für Steuern, Swisscom, BMM AG, Bank für Sozialleistungen, Deutsche Luftwaffe, ERM Zürich, F&B Zürich, Groupama Malta, In Vivo Pharma, P&A Agencija za Poslovanje, Swisscom, Swiss Inter national Air Lines AG, Syngenta, UBS AG



## USE IT

UNSERE GUIDELINES - JETZT DOWNLADEN!

Unsere «PL/SQL und SQL Coding Guidelines» ermöglichen Ihnen eine optimale und standardisierte Codierung von PL/SQL Anwendungen.

*«Roger and his team have done an excellent job of providing a comprehensive set of clear standards that will undoubtedly improve the quality of your code. If you do not yet have standards in place, you should give strong consideration to using these as a starting point.»*  
 Steven Feuerstein, PL/SQL Evangelist

Kostenlos Download unter:  
[www.trivadis.com/plsql](http://www.trivadis.com/plsql)



## LEARN IT

VON DEN BESTEN LERNEN!

Profitieren Sie von unseren Best-Practice-Kursen!  
 Einführung in PL/SQL  
[www.trivadis.com/c-pl-sql](http://www.trivadis.com/c-pl-sql)

PL/SQL für Fortgeschrittene  
[www.trivadis.com/c-pl-sql-adv](http://www.trivadis.com/c-pl-sql-adv)

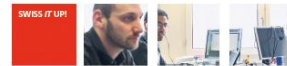
Alle Kursbewertungen unserer Teilnehmer finden Sie direkt bei den Kursbeschreibungen.



## KNOW IT

DAS GEBILDETE WISSEN UNSERER PL/SQL CRACKS!

- 1 **Roger Huber**, Senior Consultant im Oracle Umfeld, seit über 20 Jahren im IT-Business, Autor der «Trivadis PL/SQL und SQL Guidelines»
- 2 **Perry Pakel**, Technology Manager für Oracle Based Development, seit über 20 Jahren im IT-Business
- 3 **Daniel Lüthli**, Solution Manager Applikation Development, fokussiert auf das Bereich «Service Oriented Architecture (SOA)», seit über 25 Jahren im IT-Business



## CHECK IT - PL/SQL

DAS ASSESSMENT FÜR IHRE PL/SQL ANWENDUNG!

Lernen Sie Ihre PL/SQL Anwendung auf Qualität, Wartbarkeit und Optimierungspotenzial checken - zum Preis von CHF 5000,- / EUR 2000,-.

Unser umfassendes Assessment beruht auf unseren «PL/SQL und SQL Guidelines».

Mehr Infos unter:  
[www.trivadis.com/plsql](http://www.trivadis.com/plsql)

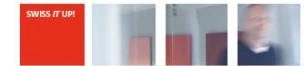


## GET IT

PROFITIEREN SIE VON UNSEREM PL/SQL ASSESSMENT!

- Ihre Vorteile:
- Klare Aussagen zur Qualität des Source Codes nach den «PL/SQL und SQL Guidelines» von Trivadis
  - Klare Empfehlungen hinsichtlich spezifischer Massnahmen
  - Abschreibbar als Ergebnis und Empfehlungen
  - Dürftlich einfaches Wartungs- und Weiterentwicklungsfähigkeit
  - Steigerung der Code-Flexibilität
  - Bessere Produktivität zur Realisierung neuerer Funktionen
  - Mehr Transparenz in zentralen Applikationen

Fixpreis: CHF 5000,- / EUR 2000,-  
 (für maximal 10000 Lines of Code oder 3 Ansatze)



## DO IT

LOS GEDHT'S: NEHMEN SIE JETZT KONTAKT AUF!

[www.trivadis.com/plsql](http://www.trivadis.com/plsql)  
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 Trivadis AG  
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# ■ Approach & Considerations

- Requirements
  - Parser to process SQL\*Plus files
  - Code checking framework
- Options
  - SQL & PL/SQL Parser
    - Oracle JDeveloper Extensions (oracle.javatools.parser.plsql.PlsqlParser)
    - Free ANTLR based grammars (e.g. <http://www.antlr3.org/grammar/list.html>)
    - General SQL Parser
  - Sonar-Plugin
    - PL/SQL Plug-In with standard existing rules and ability for extension
  - Eclipse Xtext
    - Framework for development of textual domain specific languages (DSL)
    - Uses ANTLR behind the scenes
    - Used successfully to generate database access layer for bitemporal tables



# ■ Xtext Features

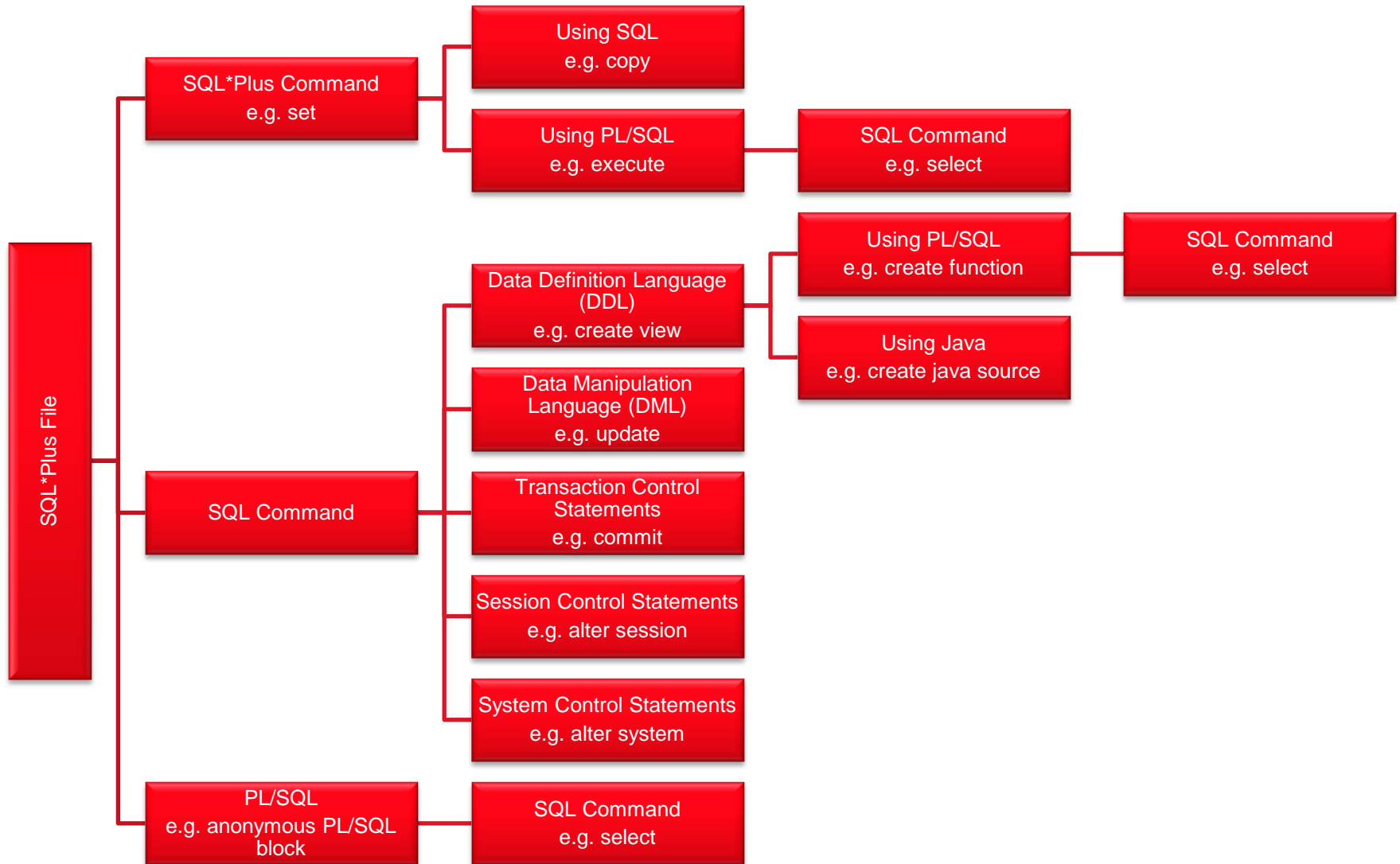
- Eclipse-based Editors
  - Validation and Quick Fixes
  - Syntax Coloring
  - Code Completion
  - Outline View
  - Code Formatting
  - Bracket Matching
- Integration
  - Eclipse Modeling Framework (e.g. for graphical editors)
  - Eclipse Workbench (e.g. for list of problems/warnings)
  - Export into self-executing JAR (e.g. to build a command-line utility)



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# ■ Content of a SQL\*Plus File



# ■ Complete Single Grammar Approach

- One, huge grammar (SQL\*Plus, PL/SQL, SQL, Java)
- Conflicting keywords between SQL\*PLUS and SQL, PL/SQL
  - "describe" is a SQL\*Plus keyword, but not a reserved word in SQL (valid for table etc.)
  - Abbreviatory notation of SQL\*Plus, e.g.
    - run command ( r | ru | run )
    - accept command ( a | ac | acc | acce | accep | accept )
- Grammar for a lot of complex commands which are not in focus for any analysis (e.g. CREATE DATABASE)
- Xtext and ANTLR cannot handle such a huge grammar
  - Maximum size of 64 KB for Java classes and methods
  - Maximum number of 65535 fields for Java classes

# ■ Reduced Single Grammar Approach

- One grammar, still huge
- Skeleton definition for less interesting commands
  - Swallow everything between start and end keywords

```
TtitleCommand: {TtitleCommand}  
K_TTITLE3 text=GenericText? =>SqlPlusCmdEnd;
```

- Necessary to avoid parse errors which would lead to incomplete analysis
- Complete definition of more interesting commands (e.g. SELECT)
- Not feasible before Xtext 2.0.1 because of generator limitations
- Still conflicting keywords between SQL\*PLUS and SQL, PL/SQL

# ■ Multiple Grammar Approach

- Skeleton grammar for SQL\*Plus files (SQL\*Plus, SQL, PL/SQL, Java)
- Complete grammar for PL/SQL and more interesting SQL commands (e.g. CREATE VIEW)
- Chaining grammars
  - Parse SQL\*Plus files using SQL\*Plus parser
  - Parse PL/SQL and chosen SQL commands in SQL\*Plus validator
  - Apply guidelines checks in PL/SQL validator
- No conflicting keywords between SQL\*PLUS and SQL, PL/SQL



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# ■ Excerpt of Grammar for Insert Statement

```
InsertStatement:
    InsertPlusHintsAndComments (
        singleTableInsert=SingleTableInsert
        | multiTableInsert=MultiTableInsert )
;

InsertPlusHintsAndComments returns InsertStatement hidden (WS):
    {InsertStatement} 'insert' (hints+=HintOrComment)*
;

SingleTableInsert:
    intoClause=InsertIntoClause (
        (valuesClause=ValuesClause returningClause=ReturningClause?)
        | (subquery=SelectStatement) ) errorLoggingClause=ErrorLoggingClause?
;

InsertIntoClause:
    'into' dmlExpressionClause=DmlTableExpressionClause alias=SqlNameExpression?
    ((' columns+=QualifiedSqlNameExpression (',' columns+=QualifiedSqlNameExpression)* '))'?
;

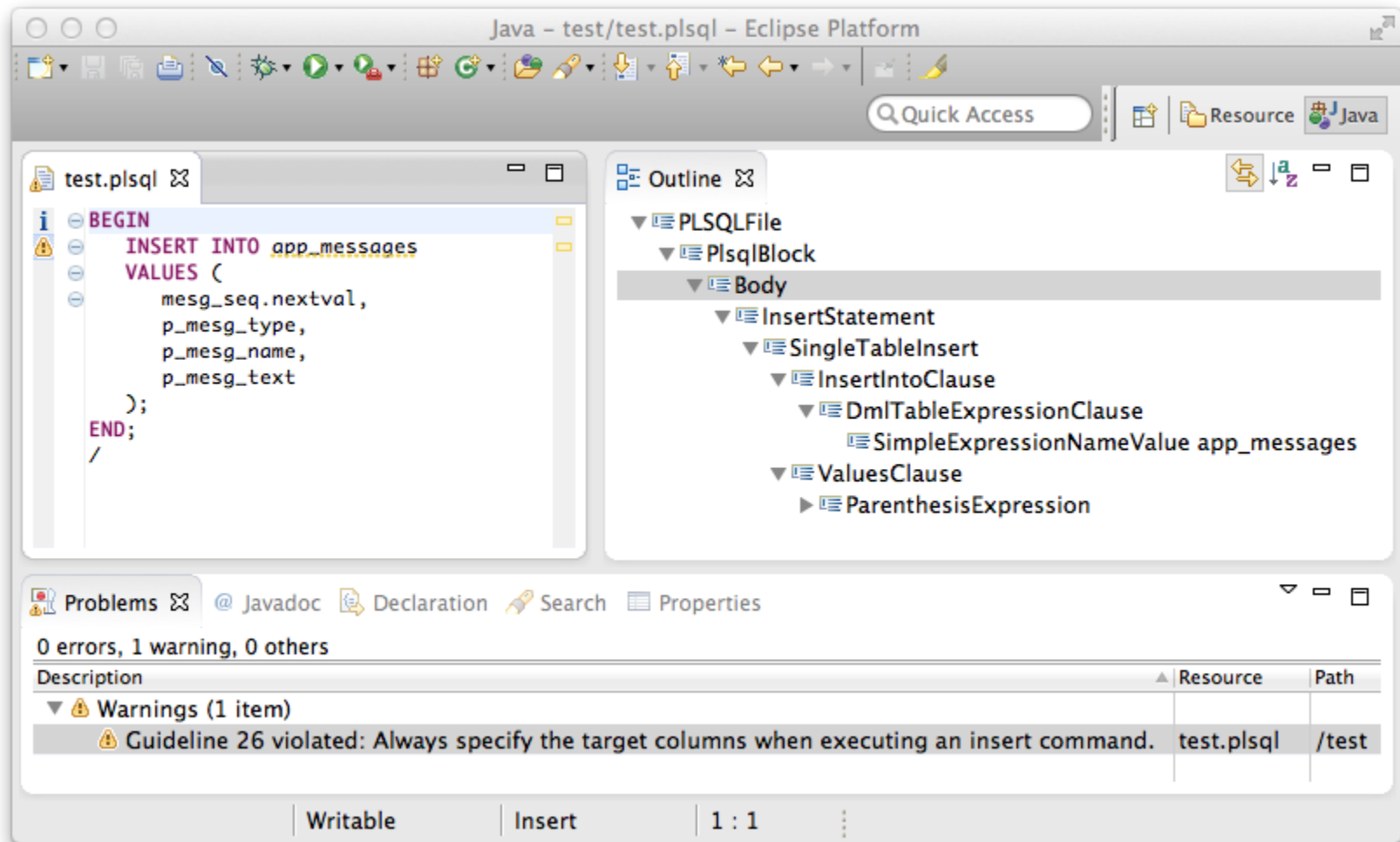
ValuesClause:
    'values' expression=Expression
;
```

## ■ Validator for Guideline #26

```
@Check
public void checkGuideline26(InsertIntoClause intoClause) {
    if (intoClause.getColumns().isEmpty()) {
        InsertStatement insert =
            EcoreUtil2.getContainerOfType(intoClause, InsertStatement.class);
        boolean ignore = false;
        SingleTableInsert singleTableInsert = insert.getSingleTableInsert();
        if (singleTableInsert != null) {
            ValuesClause valuesClause = singleTableInsert.getValuesClause();
            if (valuesClause != null) {
                Expression expr = valuesClause.getExpression();
                if (!(expr instanceof ParenthesisExpression)) {
                    ignore = true; // record variable, column list not allowed!
                }
            }
        }
        if (!ignore) {
            warning(GUIDELINE_26_MSG, intoClause.getDmlExpressionClause(), null,
                GUIDELINE_26, serialize(NodeModelUtils.getNode(insert).getParent()));
        }
    }
}
```



# Eclipse Editor



The screenshot shows the Eclipse IDE interface with the following components:

- Editor:** Displays PL/SQL code for a procedure named `test.psql`. The code includes an `INSERT INTO` statement for the `app_messages` table. A warning icon is visible on the left side of the editor.
- Outline:** Shows a hierarchical tree view of the code structure, including `PLSQLFile`, `PLsqlBlock`, `Body`, `InsertStatement`, `SingleTableInsert`, `InsertIntoClause`, `DmlTableExpressionClause`, `SimpleExpressionNameValue app_messages`, `ValuesClause`, and `ParenthesisExpression`.
- Problems:** Shows a table with one warning item: "Guideline 26 violated: Always specify the target columns when executing an insert command." The table has columns for Description, Resource, and Path.

Description	Resource	Path
Warnings (1 item)		
Guideline 26 violated: Always specify the target columns when executing an insert command.	test.psql	/test

# ■ TVDCC – Command Line Interface

```
processing file 'ESC_USER_ADMIN.pkb'... 346 issues found.
processing file 'ESC_USER_ADMIN.pks'... 129 issues found.
processing file 'ESC_USER_ADMIN_DEFAULT.pkb'... 30 issues found.
processing file 'ESC_USER_ADMIN_DEFAULT.pks'... 6 issues found.
processing file 'ESC_UTIL.pkb'... 193 issues found.
processing file 'ESC_UTIL.pks'... no issues found.
```

## Summary:

- Total files: 42
- Total bytes: 1806872
- Total lines: 32062
- Total commands: 5322
- Total statements (PL/SQL): 5800
- Max. cyclomatic complexity: 140
- Total issues: 4668
- Total warnings: 4667
- Total errors: 1
- Total processing time in seconds: 23.496

```
transforming tvdcc_report.xml into tvdcc_report.h
transforming tvdcc_report.xml into tvdcc_report.x
cleanup completed.
```

XML, HTML, Excel  
Output

## Issue Overview

16.0% **Guideline 62** violated: Always use parameters or pull in definitions rather than referencing external variables in a local program unit.

7.9% **Guideline 53** violated: Avoid use of WHEN OTHERS clause in an exception section without any other specific handlers.

7.3% **Guideline 72** violated: Try to use no more than one RETURN statement within a function.

6.8% **Guideline 27** violated: Always use table aliases when your SQL statement involves more than one source.

6.7% **Guideline 10** violated: Try to use subtypes for constructs used often in your application.

5.5% **Guideline 18** violated: Avoid declaring NUMBER variables or subtypes with no precision.

4.9% **Guideline 01** violated: Try to label your sub blocks.

4.4% **Guideline 68** violated: Avoid using a IN OUT parameters as IN / OUT only.

4.3% **Guideline 23** violated: Always define your VARCHAR2 variables using CHAR SEMANTIC.

3.8% **Guideline 76** violated: Always prefix ORACLE supplied packages with owner schema name.

3.7% **Guideline 30** violated: Use BULK OPERATIONS (BULK COLLECT, FORALL) whenever you have to.

3.1% **Guideline 28** violated: Try to use ANSI-join syntax, if supported by your ORACLE version.

2.7% **Guideline 40** violated: Always label your loops.

2.4% **Guideline 60** violated: Try to use named notation when calling program units.

2.1% **Guideline 66** violated: Always use forward declaration for private functions and procedures.

1.6% **Guideline 58** violated: Always use a string variable to execute dynamic SQL.

1.2% **Guideline 36** violated: Try to use CASE rather than DECODE.

0.8% **Guideline 50** violated: Avoid hard-coded upper or lower bound values with FOR loops.

0.8% **Guideline 67** violated: Avoid declaring global variables public.

0.8% **Guideline 11** violated: Never initialize variables with NULL.

0.8% **Guideline 79** violated: Always use synonyms when accessing objects of another application schema.

0.6% **Guideline 49** violated: Avoid use of unreferenced FOR loop indexes.

0.5% **Guideline 07** violated: Avoid nesting comment blocks.

0.5% **Guideline 16** violated: Avoid using overly short names for declared or implicitly declared identifiers.

0.5% **Guideline 26** violated: Always specify the target columns when executing an insert command.

0.5% **Guideline 56** violated: Avoid unhandled exceptions.

0.4% **Guideline 61** violated: Always add the name of the program unit to its end keyword.

0.3% **Guideline 22** violated: Never use zero-length strings to substitute NULL.

0.2% **Guideline 70** violated: Avoid using RETURN statements in a PROCEDURE.

0.2% **Guideline 13** violated: Avoid initializing variables using functions in the declaration section.

Console

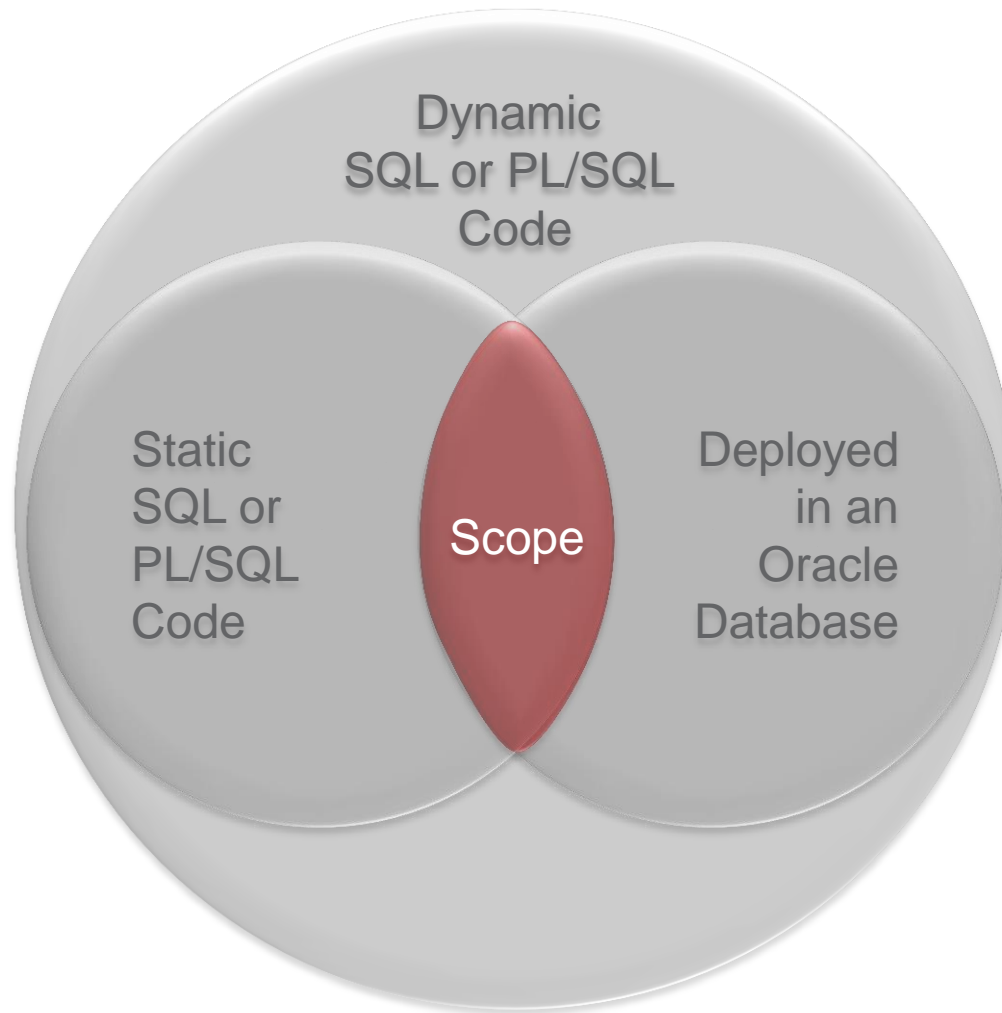
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# ■ Motivation

- Find Object/Subobject Usage
  - Table/view statements (e.g. Select, Insert, Update, Delete, Merge)
  - Package procedures/functions
  - Type methods
  - Table/view columns
- Manage Accessibility of Sensitive Columns
  - Client identifying data
  - Turnover, cost per order/customer, gross margin, discount
  - Nested views, named queries, subqueries – column name changes
- Estimate Impact of Software Design Changes
  - Aspect of design decisions
  - Identify impacted modules (e.g. for testing purposes)

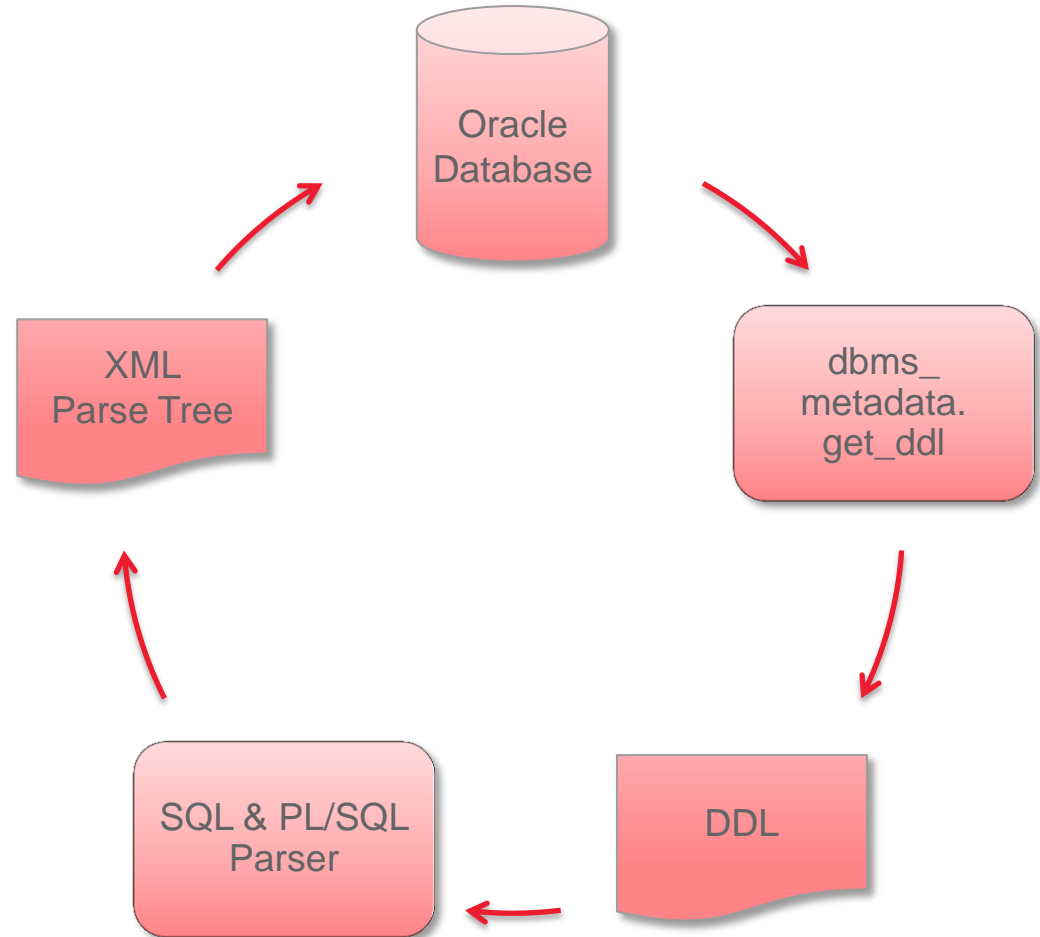
# ■ Scope of Database Dependency Analysis



# ■ Extend the Oracle Data Dictionary

```
SQL> desc tvd_parsed_objects_t
```

Name	Type
OBJECT_ID	NUMBER
OWNER	VARCHAR2 (30)
OBJECT_NAME	VARCHAR2 (128)
OBJECT_TYPE	VARCHAR2 (30)
LAST_DDL_TIME	DATE
DDL_SOURCE	CLOB
<b>PARSE_TREE</b>	<b>XMLTYPE</b>



# ■ TVDCA – Tables, Views used in DML Statements

- Usage within Function, Procedure, Trigger, Package Spec/Body, Type Body
  - Consider Insert, Update, Delete, Merge statements
  - Procedure\_name semantics according DBA\_PROCEDURES
- Example

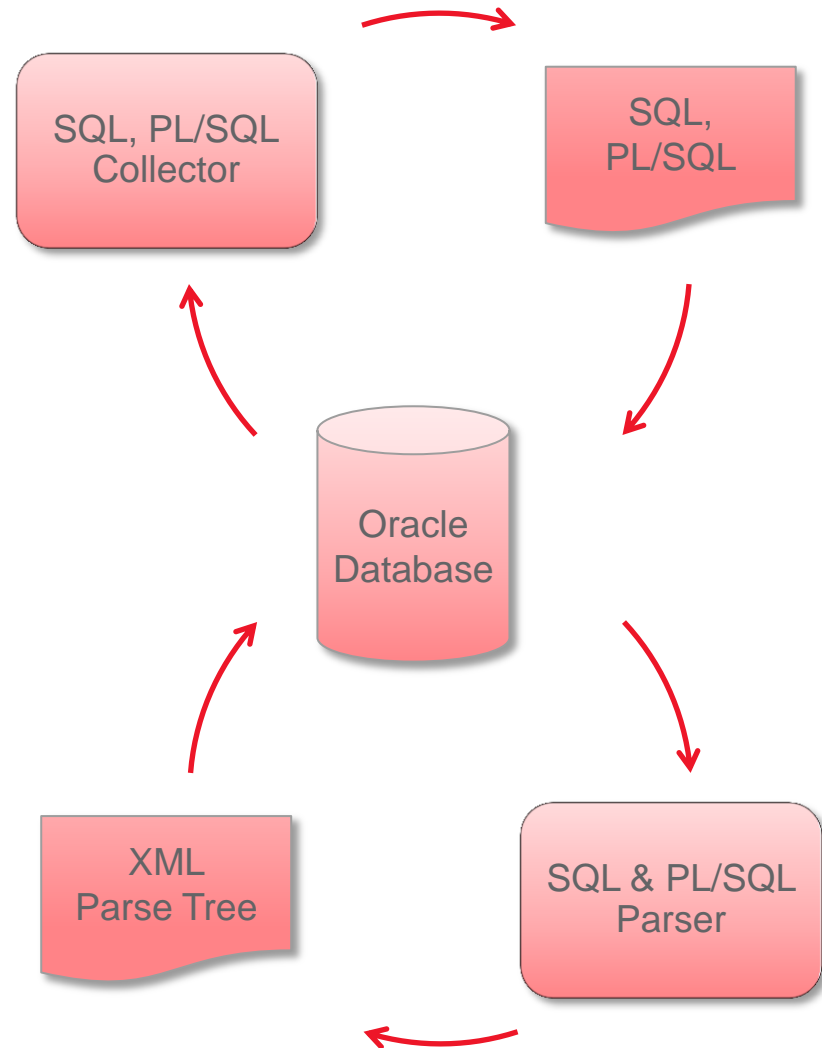
```
SQL> SELECT object_type, object_name, operation AS op, procedure_name,  
2         table_owner AS t_own, table_name  
3     FROM tvd_object_dml_usage_v t  
4     WHERE owner = 'TVDCC';
```

OBJECT_TYPE	OBJECT_NAME	OP	PROCEDURE_NAME	T_OWN	TABLE_NAME
PACKAGE BODY	TVD_PARSED_OBJECTS_PKG	INSERT	REFRESH	TVDCC	TVD_PARSED_OBJECTS_T
FUNCTION	TVD_SAMPLE_FUNCTION	INSERT	INNER_PROCEDURE	TVDCC	TVD_PARSED_OBJECTS_T
FUNCTION	TVD_SAMPLE_FUNCTION	INSERT	INNER_FUNCTION	TVDCC	TVD_PARSED_OBJECTS_T
FUNCTION	TVD_SAMPLE_FUNCTION	INSERT		TVDCC	TVD_PARSED_OBJECTS_T
PACKAGE BODY	TVD_SAMPLE_PACKAGE	INSERT	MOST_INNER_PROCEDURE	TVDCC	TVD_PARSED_OBJECTS_T

# ■ Extend the Scope of Dependency Analysis

```
SQL> desc tvd_captured_sql_t
```

Name	Type
-----	-----
CAP_ID	NUMBER
<b>CAP_SOURCE</b>	<b>CLOB</b>
SQL_ID	VARCHAR2 (13)
USER_NAME	VARCHAR2 (30)
SCHEMA_NAME	VARCHAR2 (30)
MODULE	VARCHAR2 (64)
ACTION	VARCHAR2 (64)
LAST_LOAD_TIME	DATE
...	
<b>PARSE_TREE</b>	<b>XMLTYPE</b>

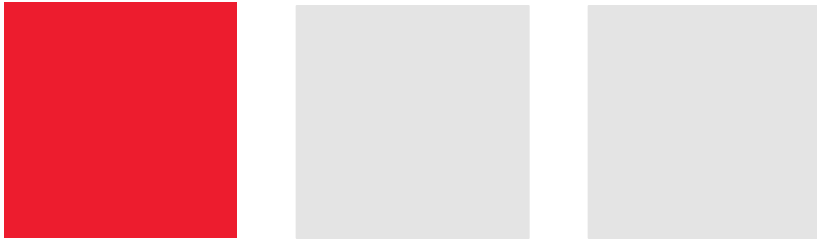




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# ■ Core Messages



- Writing a SQL\*Plus parser is laborious
- Writing a validator is simple
- Extend the Oracle Data Dictionary for Dependency analysis and consider code not deployed in the database
- Xtext is a complete DSL framework
  - More than just a parser generator
  - Separation of parser and validators
  - Promising for further applications like code fixing, code formatting, presenting graphical models, etc.

# Questions and answers ...

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